

# Implications of slab mineralogy for subduction dynamics

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## Abstract

Phase relations among mantle minerals are perturbed by the thermal environment of subducting slabs, both under equilibrium and disequilibrium (metastable) conditions. Such perturbations yield anomalies not only in seismic velocities but also in density. The buoyancy forces arising from these density anomalies may exert several important effects. They contribute to the stress field within the slab, in a fashion consistent with observed patterns of seismicity. They may affect subduction rates, both by inducing time-dependent velocity changes under equilibrium conditions and by imposing velocity limits through a thermal feedback loop under disequilibrium conditions. They may affect slab morphology, possibly inhibiting penetration of slabs into the lower mantle and allowing temporary stagnation of deflected or detached slabs. Latent heat release from phase transitions under disequilibrium conditions in slabs can yield isobaric superheating, which may generate adiabatic shear instabilities capable of triggering deep seismicity. © 2001 Elsevier Science B.V. All rights reserved.

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## 1. Introduction

Subducting slabs sink into the mantle because they are negatively buoyant. Much of this negative buoyancy is thermal, arising from the temperature difference between cold slab and warm mantle material. Thus colder slabs, such as those of greater age and/or faster subduction rates, should possess greater negative thermal buoyancies (McKenzie, 1969; Elsasser, 1969; Minear and Toksöz, 1970; Forsyth and Uyeda, 1975; Becker et al., 1999). There are, however, additional important sources of slab buoyancy. Temperature contrasts between subducting slab and ambient mantle imply that the equilibrium depths of chemical reactions, such as subsolidus phase transformations

between low- and high-pressure mineral phases, will differ between slab and mantle, and the consequent lateral juxtaposition of phase assemblages of differing densities must generate additional “petrological” buoyancy forces. Furthermore, the low temperatures within slabs also may give rise to disequilibrium effects due to kinetic hindrance of reactions, such as metastable persistence of low-pressure minerals into the stability fields of high-pressure phases, and the resulting spatial variations in mineralogy will also contribute to petrological buoyancy forces.

These buoyancy forces, both thermal and petrological, have important effects on the physical behavior of subducting slabs. The spatial distribution of buoyancy forces contributes to the stress field within slabs, and these stresses may be reflected in patterns of seismicity. Additionally, spatial and temporal variations in the net buoyancies of slabs may be reflected in varying subduction rates, through changes in the important “slab pull” driving forces for surface plate

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motions. Furthermore, these buoyancy forces may affect slab morphology, such as the lateral deflection of some slabs at the top of the lower mantle. Finally, the thermal perturbation of phase relations which gives rise to the petrological buoyancy forces may also influence the thermal evolution of slab material, through latent heats of reaction.

## 2. Phase relations

To study these effects, we begin by constructing a simple thermal model of a subduction zone. For the example shown in Fig. 1, a two-dimensional numerical model of the temperature distribution within a subduction zone was calculated on a  $120 \times 90$  grid for lithosphere of 140-Ma age subducting at 8 cm per year at a dip angle of  $60^\circ$ , using a simple finite difference algorithm (Minear and Toksöz, 1970; Toksöz et al., 1973) that neglects both shear and radiogenic heating, with initial lithospheric temperatures derived from the plate model GDH1 (Stein and Stein, 1992). To construct a mineralogical model that corresponds to this thermal structure, we first supplement this thermal structure with simple representations of pressure and composition. Here, the variation of pressure with depth is obtained by radial integration of the reference density model PEMC (Dziewonski et al., 1975). For simplicity, the bulk chemical composition is assumed to be uniformly that of  $(\text{Mg}_{0.9}\text{Fe}_{0.1})_2\text{SiO}_4$  mantle olivine (Ringwood, 1982), although more sophisticated models might take into account compositional layering within slabs (Helffrich et al., 1989). From

such a map of temperature, pressure, and bulk composition at each point of interest, we can determine the stable mineral assemblages at each corresponding point. Mineral phase assemblages are determined by free energy minimization, using a simulated annealing algorithm (Bina, 1998a) and thermodynamic parameters (Fei et al., 1991) for olivine ( $\alpha$ ), its polymorphs wadsleyite ( $\beta$ ) and ringwoodite ( $\gamma$ ), and its disproportionation products magnesiowüstite (mw) and ferromagnesian silicate perovskite (pv).

Two resulting models are shown in Fig. 2: the equilibrium case, and a disequilibrium case in which  $\alpha$  olivine persists metastably at temperatures below 1000 K (Sung and Burns, 1976a,b; Green and Burnley, 1989; Kirby et al., 1991; Rubie and Ross, 1994; Green and Houston, 1995; Kirby et al., 1996; Kerschhofer et al., 1996; Wang et al., 1997). While this latter representation of the limits of metastability as a simple isothermal cutoff is an oversimplification of the complex kinetics of the olivine transformations (Liu et al., 1998), it is sufficient to illustrate the first-order buoyancy effects. As expected, perturbation of equilibrium phase relations in the mantle (Turcotte and Schubert, 1971, 1972; Schubert et al., 1970, 1975; Bina, 1996) by the cold slab results in upward deflection (due to their positive Clapeyron slopes) of the  $\alpha \rightarrow \alpha + \beta \rightarrow \beta$  and the  $\beta \rightarrow \beta + \gamma \rightarrow \gamma$  transitions from their nominal depths of  $\sim 410$  and  $\sim 530$  km, respectively, as well as downward deflection (due to its negative Clapeyron slope) of the  $\gamma \rightarrow \gamma + \text{pv} + \text{mw} \rightarrow \text{pv} + \text{mw}$  transition from its nominal depth of  $\sim 660$  km (Navrotsky, 1980; Akaogi et al., 1989; Katsura and Ito, 1989; Ito and Takahashi,

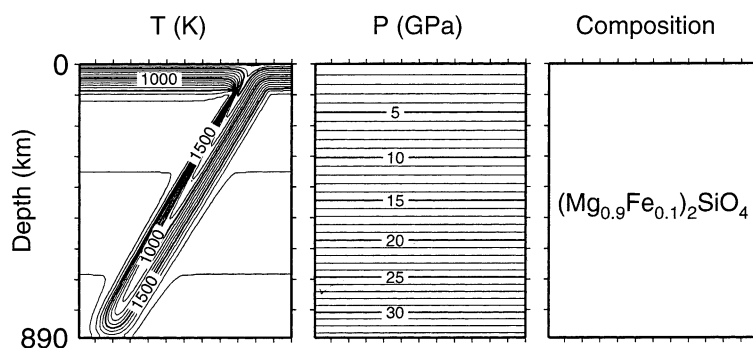


Fig. 1. Sample pressure, temperature, and compositional model for a simple model of a subducting slab (140-Ma lithosphere subducting at 8 cm per year at  $60^\circ$  dip) (modified from Bina (1998a)).

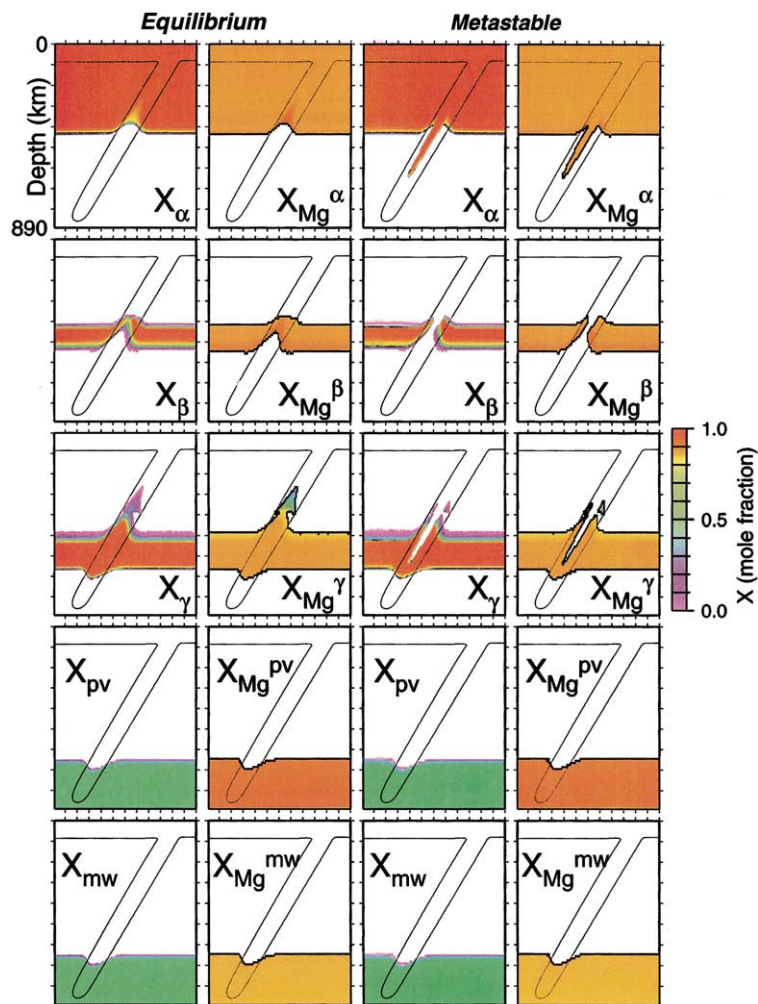


Fig. 2. Molar phase distribution ( $X_\phi$ ) and composition ( $X_{\text{Mg}}^\phi$ ) for equilibrium and disequilibrium (metastable) cases. Also shown is 1500 K isotherm (modified from Bina (1996, 1998a)).

1989; Ito et al., 1990; Akaogi and Ito, 1993; Bina and Helffrich, 1994; Green and Houston, 1995; Bina, 1996, 1997; Helffrich, 2000). However, solid-solution effects in the  $(\text{Mg}_{0.9}\text{Fe}_{0.1})_2\text{SiO}_4$  bulk composition dictate that these are not simple deflections of univariant phase boundaries. In these divariant reactions, the relative proportions of the phases change continuously over narrow depth intervals, rather than discontinuously as would occur in a pure  $\text{Mg}_2\text{SiO}_4$  composition. Furthermore, within the coldest core of the slab, the usual  $\alpha \rightarrow \alpha + \beta \rightarrow \beta \rightarrow \beta + \gamma \rightarrow \gamma$  sequence of upper mantle transitions is replaced by

the  $\alpha \rightarrow \alpha + \gamma \rightarrow \beta + \gamma \rightarrow \gamma$  series (Green and Houston, 1995), in which Fe-rich  $\gamma$  becomes stable along with Fe-poor  $\alpha$  at relatively shallow depths (Bina, 1996), prior to subsequent transformation of this  $\alpha + \gamma$  to a  $\beta + \gamma$  assemblage. These effects also can be seen in Fig. 3, which shows the non-linear variation (Helffrich and Bina, 1994; Stixrude, 1997) of phase proportions and compositions along down-dip profiles through the temperature minimum of the slab as well as a vertical profile through the surrounding mantle. The results for the disequilibrium case are broadly similar to those for the equilibrium

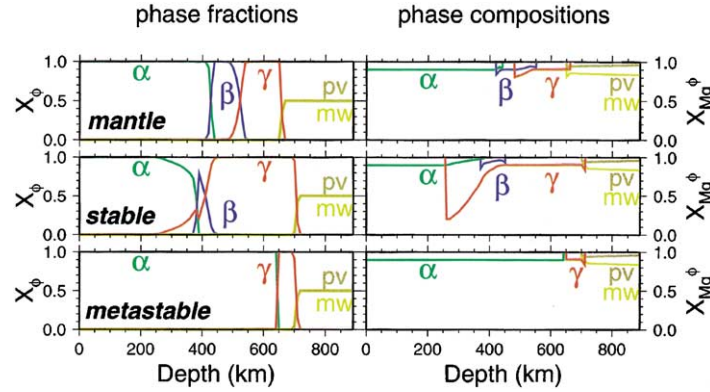


Fig. 3. Profiles of molar phase distribution ( $X_\phi$ ) and composition ( $X_{Mg}^\phi$ ) vs. depth, for ambient mantle and along slab temperature minimum for equilibrium (stable) and disequilibrium (metastable) cases (modified from Bina (1996)).

case, except that in the former a tongue of  $\alpha$  extends metastably to great depths within the coldest core of the slab (Fig. 3). The portions of Figs. 2 and 3 which depict the phase proportions for these two cases are coarsely summarized in the upper panels of Fig. 4.

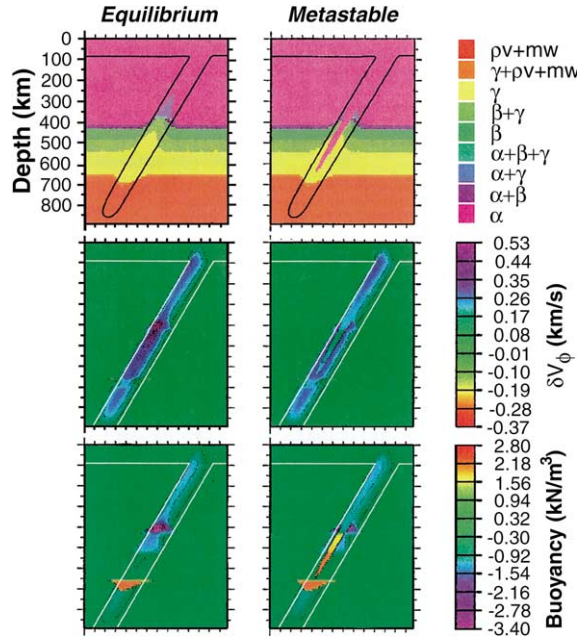


Fig. 4. Phase assemblages (top), bulk sound velocity anomalies (middle), and buoyancy anomalies (bottom) for equilibrium and disequilibrium (metastable) cases. Also shown is 1500 K isotherm (top) and slab boundary (middle and bottom).  $V_\phi^2 = V_P^2 - (4/3)V_S^2$  (modified from Bina (1997, 1998a)).

Given our choice of a bulk composition of pure olivine stoichiometry, this simple analysis treats only reactions within  $(Mg, Fe)_2SiO_4$  olivine, which forms perhaps 55–65% by volume of a peridotite mantle. The remaining  $(Ca, Mg, Fe)SiO_3 \cdot Al_2O_3$  pyroxene (px) and garnet (gt) phases also undergo phase transformations, to silicate ilmenite (il), majoritic garnet (mjgt), and both calcic and ferromagnesian silicate perovskites (pv). However, such transitions as  $px + gt \rightarrow px + mjgt \rightarrow mjgt$  and  $mjgt \rightarrow mjgt + pv \rightarrow pv$  should be broader in depth-extent by about an order of magnitude than the transitions in olivine (Bina and Wood, 1984; Akaogi et al., 1987; Bina and Liu, 1995; Wood and Rubie, 1996; Vacher et al., 1998), and their contributions to local density anomalies and hence buoyancy forces should be considerably more diffuse. Indeed, the breadth of transitions involving garnet-bearing assemblages reflects their highly multivariant nature, so that in a natural multicomponent system they may not possess meaningful Clapeyron slopes (which are strictly defined only for univariant reactions).

### 3. Buoyancy anomalies

The lateral juxtaposition of high- and low-pressure phase assemblages resulting from this perturbation of mantle phase relations by the slab's thermal field implies anomalies in both seismic velocity and density relative to the surrounding mantle (Richter, 1973;

Schubert et al., 1975; Christensen and Yuen, 1984, 1985; Ito and Sato, 1992; Bina, 1996, 1997, 1998a; Yoshioka et al., 1997; Koper and Wiens, 2000), and the action of gravity upon these density anomalies gives rise to buoyancy anomalies (Fig. 4) which are superimposed on the negative thermal buoyancy of the slab (also reflected in overall fast seismic velocities). The upward equilibrium deflection of the  $\alpha \rightarrow \beta \rightarrow \gamma$  phase transitions yields negative buoyancies (also reflected in locally faster velocity anomalies) at shallow depths, by stabilizing dense high-pressure phases in the cold slab that are surrounded by less dense lower-pressure phases in the ambient mantle. Furthermore, the downward equilibrium deflection of the  $\gamma \rightarrow \text{pv} + \text{mw}$  transition yields positive buoyancies (also reflected in locally slower velocity anomalies) at greater depths, by allowing less dense phases in the cold slab to persist stably to depths where they are surrounded by denser higher-pressure phases in the mantle. On the other hand, in the disequilibrium case where  $\alpha$  persists metastably beyond its stability field, the resulting tongue of metastable  $\alpha$  implies positive buoyancy anomalies at depth, which should also be reflected in locally slow velocity anomalies.

It is worth noting that despite the attractive features of the disequilibrium metastability model, seismological studies have failed to clearly detect direct evidence of a metastable wedge (Iidaka and

Obara, 1997; Helffrich, 1998; Koper et al., 1998; Collier and Helffrich, 1997, 2000). Although the low seismic-velocity wedge (Fig. 5) within the complex geometry of the high-velocity slab is likely to be an elusive target (years of study having been required to observe trapped seismic waves for the analogous case of low-velocity fault interiors), whose detection will be further complicated by additional non-olivine components (Hogrefe et al., 1994; Vacher et al., 1999), current seismological results are most easily explained by the absence of such a coherent wedge. On the other hand, recent failures to detect fast seismic velocity anomalies in a slab (using earthquakes outboard of a subduction zone) do suggest chemical or petrological counterweights to low slab temperatures (Brudzinski et al., 1997; Brudzinski and Chen, 2000), such as partial melt or volumes (either coherent or distributed) of metastable olivine.

#### 4. Stress fields

The spatial distribution of the buoyancy anomalies arising from the thermal perturbation of equilibrium phase relations (Fig. 4) suggests that material between the regions of deflected phase transitions may be compressed by the opposed buoyancy forces (Griggs, 1972; Goto et al., 1987; Ito and Sato, 1992; Bina, 1996, 1997; Yoshioka et al., 1997). We can calculate the principal stresses arising from these buoyancy forces, using a finite-element method (Gobat and Atkinson, 1995) with 4-node isoparametric elements for an elastic medium with spatially varying moduli. For this simplest case, we model both the slab and mantle as purely elastic media, albeit with elastic moduli which vary spatially due to variations in pressure, temperature, and phase assemblage. Solution for the displacements and stresses which minimize the strain energy at static equilibrium (Segerlind, 1984), yields significant down-dip compressional stresses in this region (Fig. 6). It is the opposition of these buoyancy forces through the depth interval of the transition zone, rather than any single buoyancy anomaly alone, which gives rise to this stress pattern in the slab (Bina, 1997).

The down-dip slab stresses change from tensional to compressional near  $\sim 400$  km depth, with down-dip compressional stresses persisting to  $\sim 690$  km, below which compressional stresses cease. This pattern is

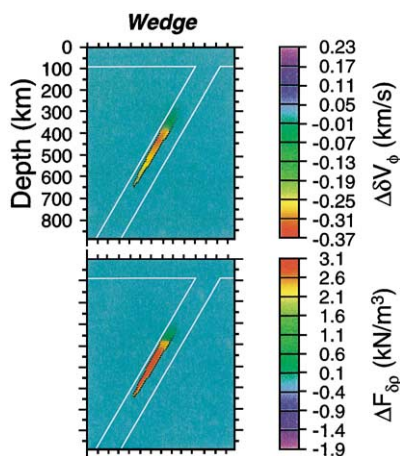


Fig. 5. Differential bulk sound velocity anomalies (top) and buoyancy anomalies (bottom) between disequilibrium and equilibrium cases, showing predicted effect of metastable olivine wedge. Also shown is slab boundary (modified from Bina (1998a)).



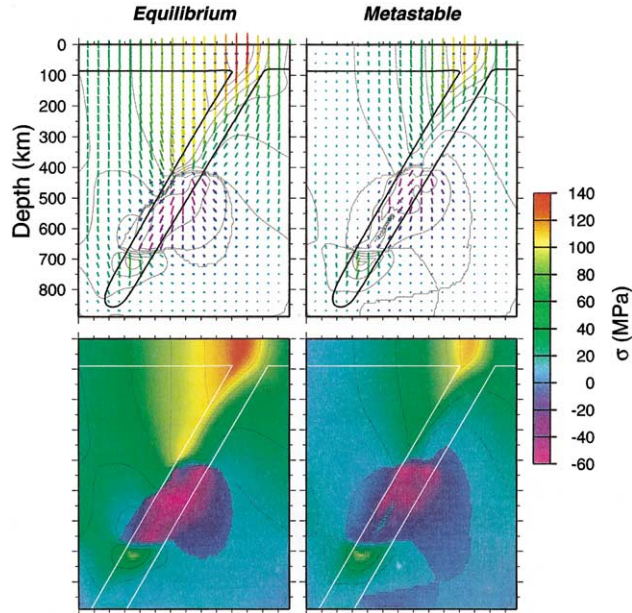


Fig. 6. Principal stresses, shown as vectors (top) and as maximum absolute magnitudes (bottom), calculated for an elastic rheology, for equilibrium and disequilibrium (metastable) cases. Negative stresses are compressional; positive stresses are extensional. Also shown is 1500 K isotherm (top) and slab boundary (bottom) (modified from Bina (1997)).

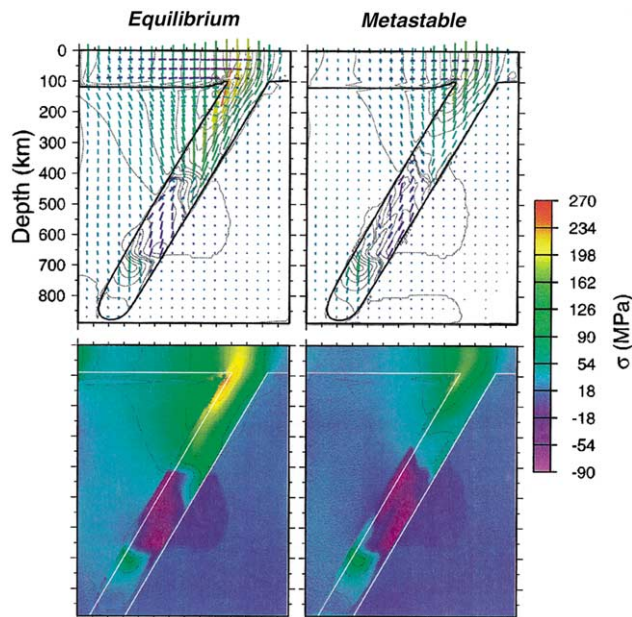


Fig. 8. Principal stresses, shown as vectors (top) and as maximum absolute magnitudes (bottom), calculated for an elastic slab within a Maxwell viscoelastic mantle ( $t = 6$  ky), for equilibrium and disequilibrium (metastable) cases. Also shown is 1700 K isotherm (top), which delimits elastic–viscoelastic transition, and slab boundary (bottom).

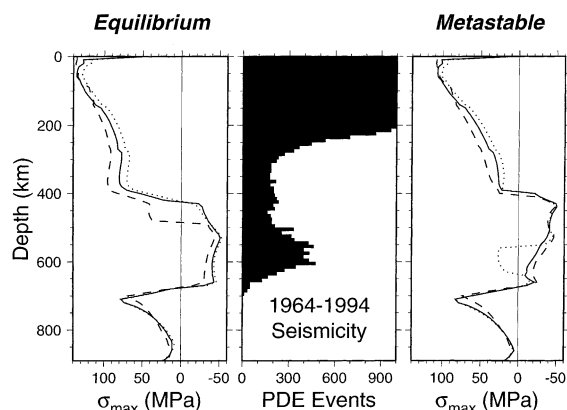


Fig. 7. Magnitude of the absolute maximum principal stress profiled down-dip along slab temperature minimum (solid), for equilibrium and disequilibrium (metastable) cases. Also shown are down-dip profiles along lines 25 km above (dashed) and below (dotted) the temperature minimum, measured normal to the slab. Center panel shows depth distribution of global seismicity for 1964–1994 from PDE catalogue (modified from Bina (1997)).

in good agreement with observed patterns of deep seismicity (Isacks and Molnar, 1971; Vassiliou et al., 1984; Rees and Okal, 1987; Vassiliou and Hager, 1988; Frohlich, 1989; Okal and Bina, 1998), for which down-dip compressional focal mechanisms are generally observed, as can be seen by comparing the depth distribution (NEIC, 1994) of global seismicity with down-dip profiles of maximum principal stress along the temperature minimum of the slab's interior (Fig. 7). Furthermore, the larger compressive stresses are of the same order as the larger stress drops estimated for deep earthquakes (Houston and Williams, 1991). Moreover, the transition from down-dip extensional to compressional stress near 400 km depth corresponds roughly to the observed minimum in deep seismicity around 300–400 km depth, and the termination of down-dip compression near 700 km depth is in accord with the observed cessation of seismicity below that depth. The large extensional stresses in the wedge-slab corner and the large compressional stresses in the mantle outside the deep slab are boundary artifacts of our simple model of static stresses in a purely elastic medium, whose relative magnitudes will begin to decline when we introduce a more realistic rheology below.

Calculated stress patterns in this simple elastic model for the disequilibrium case of metastable per-

sistence of  $\alpha$  are similar, except that the presence of a positively buoyant tongue of  $\alpha$  within the negatively buoyant interior of the slab results in a narrow interior region in which the absolute maximum principal stress is tensional and not oriented down-dip (Fig. 6). This effect, together with slightly rotated stresses of decreased compressional magnitude in the outer portions of the slab, shifts the compressive stress maximum toward somewhat shallower depths (Fig. 7).

In these simple stress models for purely elastic media, the region of large compressive stresses extends beyond the boundaries of the slab and into the mantle. However, the rheology of the cold slab may differ significantly from that of the warmer mantle, and a viscous mantle is unlikely to respond purely elastically (Karato, 1997). This issue may be addressed by incorporating a more complex rheology, such as viscoelasticity, into the finite-element model (Northwest, 1998). For example, we may take the same purely elastic slab but embed it in a viscoelastic mantle, retaining the same spatially varying elastic moduli. Here, the mantle exhibits Maxwell viscoelasticity in which the shear moduli relax with a viscosity of  $10^{21}$  Pa s, and the cutoff between elastic and viscoelastic behavior is modeled as the 1700 K isotherm. In this case (Fig. 8), the down-dip compressive stresses become concentrated within the slab itself (Bina and Kirby, 1999). Furthermore, in the disequilibrium case the zone of maximum compressive stress divides into two regions lying above and below the metastable wedge. Some anomalous shallow wedge stresses and bleeding of compressional stresses into the mantle below the slab remain, as boundary artifacts of our simple static model, but they continue to decline with greater relaxation times (Ken Kumayama, personal communication). A more thorough analysis might incorporate a fully viscoelastic model in which both mantle and slab viscosities vary with both temperature and pressure, but ultimately a fully dynamical model involving mantle return flow would be necessary to resolve fine structure. Moreover, use of a variety of regionally appropriate thermal models would allow comparison of consequent stress patterns with seismicity profiles for various individual subduction zones (Helffrich and Brodholt, 1991), rather than simply with a global ensemble.

Other studies (Goto et al., 1987; Devaux et al., 1999) have suggested that transformational strain

associated with heterogeneous volume changes along the boundaries of a metastable wedge would generate stresses much larger than those associated with thermal strain and buoyancy forces. However, these studies have not incorporated the effects of stress relaxation across phase changes (Karato, 1997; Riedel and Karato, 1997), nor have they included the  $\gamma \rightarrow \text{pv} + \text{mw}$  transition whose buoyancy force plays an important role in generating large down-dip compressive stresses in the slab (Bina, 1997).

## 5. Subduction rates

The spatial distribution of buoyancy anomalies associated with the thermal perturbation of phase relations (Fig. 4) also suggests the potential for temporal variations in subduction rates (Bassett, 1979; Rubie, 1993; Kirby et al., 1996; Marton et al., 1999a; Schmeling et al., 1999). Shortly after initiation of subduction, a slab will be negatively buoyant due simply to negative thermal buoyancy. Some time thereafter, the sinking slab will reach the equilibrium depth of the first of the uplifted phase transitions, gaining additional negative buoyancy due to the petrological contribution, which should increase the slab's rate of descent. Eventually the slab will reach the equilibrium depth of the downwardly deflected transition, where it will acquire a positive petrological buoyancy contribution. Although the slab may remain negatively buoyant in the aggregate, the attendant decrease in the downward buoyancy force should decrease its rate of descent (Marton et al., 1999a; Schmeling et al., 1999).

This effect can be seen in Fig. 9, where the terminal velocity of our model slab (from Fig. 1) as a function of penetration depth is estimated by equating the down-dip component of the slab's aggregate (mean summed) buoyancy force with the total viscous drag force. The latter is determined for the two sides of the slab, given by the product of length and shear stress, and for a semicircular slab tip, given by the half the Stokes's law value (Batchelor, 1967), for a slab of fixed geometry sinking through a mantle of viscosity  $3.7 \times 10^{20}$  Pa s (Mitrovica and Peltier, 1993). The resulting variations in subduction rate are on the order of a few cm per year as the slab penetrates the transition zone (Marton et al., 1999a). While

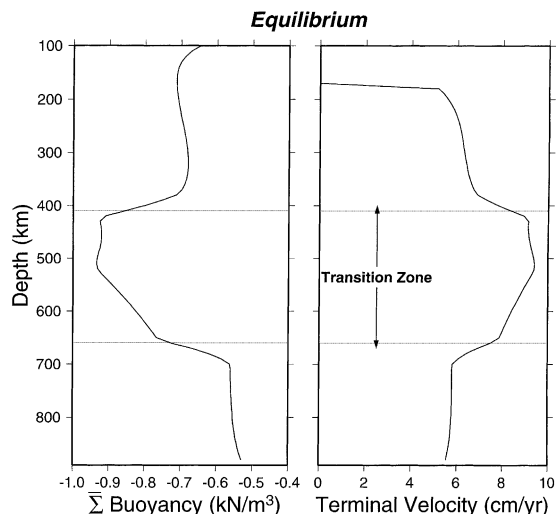


Fig. 9. Variation of slab's mean summed buoyancy force (left) and calculated terminal velocity (right) with slab penetration depth, for equilibrium case (modified from Marton et al. (1999a)).

most proposed mechanisms for changes in surface plate motions involve relatively gradual changes in velocity (Lithgow-Bertelloni and Richards, 1998), such time-dependent changes in bulk slab buoyancy, and hence subduction rate, with depth of penetration may provide a mechanism for more rapid changes. Note, however, that this mechanism generates changes in descent velocities only when a slab first encounters the transition zone, so that subduction occurs at a steady rate after the slab penetrates into the lower mantle.

In the disequilibrium case of metastable persistence of  $\alpha$  within slabs, the positive buoyancy of a wedge of metastable  $\alpha$  should act to further decrease subduction rates. Moreover, colder slabs, which should sink faster due to their greater negative thermal buoyancy, should have their descent rates slowed more by their larger metastable wedges (Bassett, 1979; Rubie, 1993; Kirby et al., 1996; Schmeling et al., 1999; Marton et al., 1999a). This "parachute effect", in which olivine metastability reduces subduction velocities for colder slabs, can be seen in Fig. 10. Here, we abandon our simple isothermal-cutoff model of metastability in favor of simple rate laws of transformation kinetics which are experimentally constrained (Rubie and Ross, 1994).



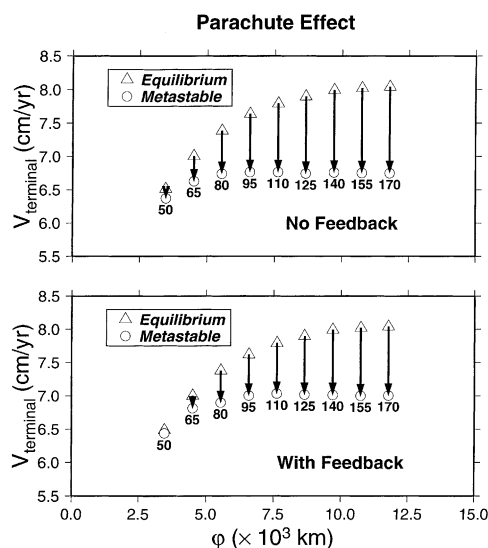


Fig. 10. Calculated terminal velocities, for equilibrium case (triangles) and disequilibrium (metastable) case (circles), showing the parachute effect (arrows) by which larger metastable wedges slow colder slabs to a greater extent. The thermal parameter ( $\phi$ ) is controlled by varying the age of lithosphere at the trench (numbers, in Ma). Magnitude of effect predicted by simple calculation (top) is damped by inclusion of feedback phenomenon (bottom) (modified from Marton et al. (1999a,b)).

The thermal state of the slab is represented simply by its “thermal parameter” ( $\phi$ )—given by the product of the trench-normal convergence rate, the sine of the slab dip, and the age of the lithosphere entering the trench—so that larger thermal parameters correspond to colder (older and/or more rapidly subducting) slabs (Molnar et al., 1979; Gorbato et al., 1996; Marton et al., 1999a). Slab thermal models are calculated using the same simple finite difference method (Minear and Toksöz, 1970). Colder slabs, which would sink faster in the equilibrium case, are slowed more than warmer slabs by their metastable olivine “parachutes” (Marton et al., 1999a; Schmeling et al., 1999). Moreover, such slowing, of the order of one cm per year, enters into a negative feedback loop. While colder slabs have larger metastable wedges and are slowed more due to the parachute effect, this deceleration exposes them to greater conductive warming which thermally erodes their wedges, so the resulting loss of positive buoyancy will accelerate their sinking somewhat. This

feedback damps the amplitude of the parachute effect (Fig. 10), but the net effect is still to slow the rates of slab descent (Marton et al., 1999b; Tetzlaff and Schmeling, 2000). Such feedback damping may act to limit (Gordon, 1991) the allowable range of subduction rates (Marton et al., 1999a,b; Tetzlaff and Schmeling, 2000). However, it should be noted that the nature of such speed limits remains a matter of some uncertainty. The model shown here predicts that the velocities of slabs containing metastable olivine should approach a limiting value with increasing age of the slab (Marton et al., 1999a). Other, fully dynamical, models have suggested that these velocities should decrease (Schmeling et al., 1999) or continue to increase (Tetzlaff and Schmeling, 2000) with increasing age of the slab. Resolution of this issue awaits more sophisticated modeling and better understanding of rheological constraints (Tetzlaff and Schmeling, 2000).

## 6. Slab morphology

For extremely cold slabs, a metastable wedge may be so large as to render the slab positively buoyant in the aggregate for penetration below some critical depth. This can be seen in Fig. 11, in which a thermal model for the very cold Tonga slab (Bevis et al., 1995) yields a wedge of metastable  $\alpha$  whose kinetically limiting 1000 K isotherm extends so deeply that the slab is positively buoyant if it penetrates directly into the lower mantle (Bina and Kirby, 1999). Thus, the metastable wedge imposes a “petrological buoyancy cost” negating the negative thermal buoyancy that drives subduction. These buoyancy forces should produce very large slab compressive stresses in the transition zone as well as large bending moments (Lundgren and Giardini, 1992, 1994; Lay, 1994; van der Hilst, 1995; Bina, 1996, 1997; Bina and Kirby, 1999) associated with upward deflection of the slab below 660 km. Equilibrium depression of the  $\gamma \rightarrow \text{pv} + \text{mw}$  transition alone contributes a significant positive buoyancy increment to the slab at the base of the upper mantle (Fig. 11), but it is the large magnitude and extent of the positive buoyancy associated with a metastable wedge (the same buoyancy that limits subduction velocities through the parachute effect discussed above) which allows the

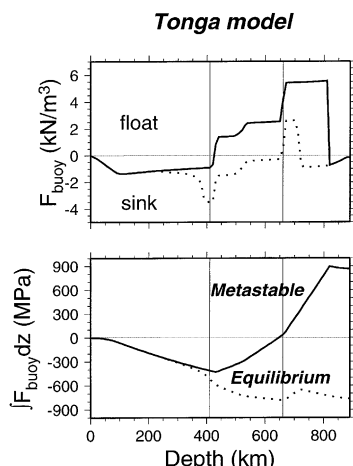


Fig. 11. Buoyancy force (top) and depth-integrated buoyancy force (bottom), profiled down-dip along slab temperature minimum, for a cold Tonga slab model (120 Ma lithosphere at 24 cm per year and  $40^\circ$ ), for equilibrium and disequilibrium (metastable) cases. Vertical lines delimit the transition zone. Slab with large metastable wedge becomes positively buoyant upon entering the lower mantle (modified from Bina and Kirby (1999)).

net buoyancy of the slab to become neutral or even positive. The net effect of these buoyancy forces is to deflect the slab toward the horizontal at the base of the transition zone (Tetzlaff and Schmeling, 2000). Stagnation of slab material near the depth of neutral buoyancy should persist until thermal erosion of the positively buoyant metastable wedge by conductive warming allows the slab to resume sinking under the influence of its residual negative thermal buoyancy (Bina and Kirby, 1999; Tetzlaff and Schmeling, 2000).

Such increased resistance to slab descent into the lower mantle beneath Tonga is consistent with tomographic images and the distribution of deep seismicity, which together suggest the presence of recumbent slab material up to 750 km west of the base of the inclined Wadati–Benioff zone (van der Hilst, 1995; Zhao et al., 1997; Okal and Kirby, 1998; Deal and Nolet, 1999; Fukao et al., 2001), as well as with apparent depression of the  $\gamma \rightarrow \text{pv} + \text{mw}$  transition to the west of the base of the inclined Wadati–Benioff zone (Roth and Wiens, 1999). It is also consistent with the observed (Po-Fei Chen, personal communication) unusual upward extension of the down-dip compressional stress regime of deep earthquakes to intermediate depths in

Tonga. Interestingly, the strongest evidence for sub-horizontal deflection of the Tonga slab derives from the northern end of the Tonga arc (Deal and Nolet, 1999). This appears to correspond to the region of highest subduction rates (Bevis et al., 1995) and thus presumably to the region of lowest slab temperatures and greatest potential for mineral metastability. Just as in the case of the parachute effect, a feedback loop may be involved, if slab deflection also acts to slow descent rates thereby raising slab temperatures and limiting the extent of metastability, but dynamical models suggest that the amount of such slowing should be small even for large subhorizontal deflections of the slab (Tetzlaff and Schmeling, 2000).

In addition to the case of deflected slabs, there is that of detached slabs. The deep seismicity beneath the North Fiji Basin, for example, is thought to occur in a slab of former Pacific plate that was subducted at the Vitiāz trench and then detached during a late Miocene arc reversal (Okal and Kirby, 1998). Thermokinetic modeling suggests that a metastable wedge of significant extent is unlikely to survive the thermal evolution of most such pieces of detached slab (Van Ark et al., 1998). In such models, combining slab thermal evolution (Marton et al., 1999a) with olivine transformation kinetics (Rubie and Ross, 1994), a cold wedge of metastable  $\alpha$  olivine rapidly achieves a steady-state size. This initial size is characteristic of the thermal parameter of subduction, in that colder slabs develop larger wedges. Upon detachment of the lower reaches of the slab from its overlying portion, modeled as occurring in the uppermost mantle near the depth of the base of the overriding lithosphere, the metastable wedge remains of roughly constant size until the detachment surface enters the transition zone. Thereafter, enhanced thermal erosion effectively inverts the association of large metastable wedges with initially cold slabs. Because colder slabs sink faster, they spend more time surrounded by hotter material (at greater depths), and their metastable wedges thermally erode more rapidly. Thus, colder slabs form larger wedges, but upon slab detachment these large wedges decay faster. For a range of simple thermal models of Vitiāz plate geometry and evolution (Gordon and Jurdy, 1986; Hamburger and Isacks, 1987; Greene et al., 1994), calculated using the same finite difference method

(Minear and Toksöz, 1970) with Tonga-like parameters (100 Ma lithosphere at 14 cm per year and  $60^\circ$ ), the large metastable wedge is reduced in size to a few tens of percent of its initial extent by  $\sim 10$  My after detachment. Thus, any faulting nucleated within any metastable material persisting in the Vitiaz detached slab probably must propagate beyond the boundaries of the metastable region in order to account for the observed seismic activity (Van Ark et al., 1998).

## 7. Thermal effects

To the extent that disequilibrium conditions allow metastable persistence of lower pressure phases, the thermal evolution of slab material may also be affected. For example, latent heat release by exothermic phase transitions in subducting slab material is significantly enhanced (Fig. 12) under disequilibrium relative to equilibrium conditions (Daessler and Yuen, 1993, 1996; Rubie and Ross, 1994; Green and Houston, 1995; Green and Zhou, 1996; Kirby et al., 1996; Daessler et al., 1996; Devaux et al., 1997; Bina, 1998b). Hence, delayed transformation of metastable material may lead to local superheating, potentially accompanied by shear instability and seismicity (Griggs and Handin, 1960; Ogawa, 1987; Hobbs and Ord, 1988; Karato, 1997; Regenauer-Lieb and Yuen, 1998; Bina, 1998b; Regenauer-Lieb et al., 1999; Raterron et al., 1999). Furthermore, while recent attempts to

incorporate spatially varying (temperature-dependent) thermal conductivity into slab models predict somewhat warmer slabs (Hauck et al., 1999), models employing temperature-dependent thermal diffusivity do predict enhanced development of such shear instability within the stability fields of the high-pressure olivine polymorphs in cold subducting slabs (Branlund et al., 2000).

In addition to perturbing subsolidus phase transformations, the low temperatures in subducting slabs may also affect dehydration reactions. Progressive dehydration of subducting slab material generally liberates water as a fluid phase which plays important roles in island-arc magmatism and intermediate-depth seismicity. In the coldest subduction zones, however, the stable phase of water may be solid ice VII rather than a fluid. For example, finite difference (Minear and Toksöz, 1970) thermal models for slabs possessing a variety of thermal parameters (Kirby et al., 1996) suggest that the Tonga slab may remain sufficiently cold for dehydration to occur within the ice VII stability field. The resulting shifts in reaction boundaries and relative buoyancies would significantly affect  $H_2O$  transport in subduction zones, altering patterns of seismicity, magmatism, and geochemistry (Bina and Navrotsky, 2000). The lower mobility of water in solid form could allow transport of greater amounts of  $H_2O$  below the nominal depths of dehydration reactions. Even in the absence of ice, however,  $H_2O$  can be transported by slabs deep within the mantle through the vehicle of dense hydrous magnesium silicate phases, stabilized by the low temperatures in slabs (Wood et al., 1996; Bose and Navrotsky, 1998).

## 8. Conclusions

Perturbation of mantle phase relations by the cold thermal environment of a subducting slab yields anomalies in the spatial distribution not only of seismic velocities but also of density, and these density anomalies give rise to significant buoyancy forces. These buoyancy forces manifest themselves in a number of ways. They contribute to the stress field within the slab in a manner reflected in observed patterns of the distribution of both moment release and focal mechanisms of deep seismicity. They may affect

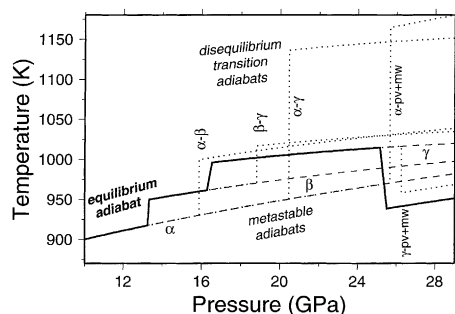


Fig. 12. For exothermic phase transitions in subducting slab material, latent heat release under disequilibrium conditions (dotted) from metastably persisting phases (dashed) is significantly enhanced relative to equilibrium conditions (solid). Adiabats calculated for transformations in forsterite (modified from Bina, 1998b).

subduction rates, both by introducing time-dependent velocity changes as slabs encounter equilibrium phase boundaries and by limiting the subduction rates of cold slabs in which buoyant metastable mineral phases persist under disequilibrium conditions. These buoyancy forces also may induce changes in slab morphology, allowing the temporary stagnation of deflected or detached slabs. While equilibrium buoyancy forces should remain important even in recumbent or detached slabs, any metastably persisting material may be subject to rapid thermal erosion in such environments.

While latent heats of phase transitions in subducting slabs under equilibrium conditions are simply manifested by refraction of adiabats along phase boundaries, latent heat release from exothermic phase transformations under disequilibrium conditions results in isobaric superheating above the equilibrium adiabat. Indeed, even nominally endothermic transitions can yield heating under sufficient metastable overpressure (Bina, 1998b). Thus, eventual transformation of any pods of metastable material should lead to local superheating which, if coupled to a strongly temperature-dependent viscosity, may lead to thermal runaway by strain localization and viscous shear heating. Such adiabatic instability may allow seismogenesis to nucleate in metastable material yet propagate well beyond its confines. The occurrence of such adiabatic shear instability in lithosphere near the surface is supported by field observations of pseudotachylite (Obata and Karato, 1995), and it is also manifest in numerical models of lithospheric necking (Regenauer-Lieb and Yuen, 1998, 2000). The role of shear instabilities in subducting lithosphere, on the other hand, remains speculative (Ogawa, 1987; Hobbs and Ord, 1988; Bina, 1998b), but recent numerical models of such processes do suggest its plausibility at the low temperatures of slab interiors (Regenauer-Lieb and Yuen, 1998; Branlund et al., 2000), and recent experimental results do suggest a role for plastic deformation in stress instabilities observed in olivine at high-pressures (Raterron et al., 1999).

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